

## About the Self-Assessment

The Program Self-Assessment helps programs determine how well they are implementing strategies to strengthen families. The Self-Assessment is based on findings from a national study that identified exemplary programs across the country. It allows all programs to compare their practices with those of the exemplary programs and identify areas for improvement. The Self-Assessment outlines how the protective factors can be supported through small but significant changes in program practice. It is written for programs committed to working with and supporting the families they serve. Versions of the Self-Assessment are available for:

- Center-based early care and education programs
- Home visiting programs
- Community-based programs such as family resource centers
- Family intervention programs such as mental health agencies
- Home-based early care and education programs

While there is significant overlap across these different versions, each also contains unique strategies specific to each setting.

The Program Self-Assessment materials in this document are applicable to community-based programs serving children and families. The concrete actions described in the self-assessment can be carried out in a variety of settings such as family resource centers, libraries, health care settings, churches and others. The strategies described can be implemented without creating new staff positions, making significant changes to existing facilities or raising additional financial resources.

## Organization

The Self-Assessment outlines practices used by exemplary programs to support families, organized around six KY Strengthening Families protective factors:

- **Parental Resilience:** Families bounce back.
- **Social Connections:** Families have friends they can count on.
- **Knowledge of Parenting and Child Development:** Families learn how their children grow and develop.
- **Concrete Support in Times of Need:** Families get assistance to meet basic needs.
- **Social and Emotional Competence of Children:** Families teach children how to have healthy relationships.
- **Nurturing and Attachment:** Families ensure children feel loved and safe.

Each section starts with a brief description of the protective factor and core strategies for building that protective factor. Self-Assessment items are organized under each of these core strategies.

## Completing the Self-Assessment

While the Self-Assessment forms may appear long at first glance, most sections have fewer than ten items. To make the process easier, the forms address specific practices; thus, multiple practice examples often appear under a single strategy. While the time it takes to complete the Self-Assessment will vary from program to program and from form to form, on average, it can be completed in approximately 30 minutes.

CSSP strongly recommends that programs create a Self-Assessment team that represents a number of different perspectives at your program, including: administrative staff and/or program director, direct service staff and families who participate in the program (or whose children participate in the program)

Including a diverse group of participants in the Self-Assessment evaluation process allows programs to benefit from a large variety of viewpoints and perspectives. Once a team has been identified, the process begins by following the steps outlined below.

First, each member of the team should fill out the Self-Assessment forms individually. Though it is recommended that all sections of the assessment be completed, you may decide to start by completing only two or three of the protective factors sections that may be more of a priority for your organization.

Next, the team should convene to share and compare assessments. Teams should use this time to discuss rating results that differ among various team members, giving each person an opportunity to describe why they rated the practice the way they did and—if appropriate—to provide an example. Once everyone has had a chance to speak, all team members should be given an opportunity to re-rate the practice. It is not necessary for the entire team to come to consensus on every practice, but it is important that all team members come to understand each other's perspectives and that a final decision is made on how to rate the item based on broad input.

It is recommended that you capture the consensus of the group on a final self assessment document. You may opt to enter the consensus version of the Self-Assessment online at [\[link to Mosaic\]](#). Completing the Self-Assessment online allows the program to print reports showing strengths and areas to focus on improvement, as well as to link to parent and staff surveys.

### Creating an Action Plan

Once all team members have re-rated the practices, the team should identify areas where the program scored highly. Next, the team should create a sustainability plan to keep these areas strong. In developing a sustainability plan for each successful area, teams should be sure to specify: key reasons for success in the area, what needs to be done to ensure continued strength in the area and who will be responsible for maintaining successful outcomes in the area

Next, the team should highlight practice areas that a majority of the team rated poorly. While we encourage programs to work on each of these areas eventually, the team can begin by deciding whether each poorly rated practice should be addressed (1) immediately, (2) over time, or (3) not at all. In categorizing practices to address it is also important to think about practices that align with your organizations' priorities and also practices where small changes can lead to quick success. By beginning with these practices you will build momentum and ensure better follow through.

When a majority of team members identify items that should be addressed immediately, teams should brainstorm plans and activities to improve associated area outcomes. It is important that you encourage creativity, a willingness to test new ideas and include families in the planning and evaluation process. Teams should be sure to specify:

- The expected results
- All required resources (including staff hour costs)
- A timeline for achieving the desired results
- Who is responsible for each action step
- Check-in points for monitoring implementation progress
- How and when longer term action steps will be addressed/implemented

**Parental Resilience: Families bounce back.**

“Managing stress and getting through it when faced with challenges, adversity and trauma”

Being a parent can be a very rewarding and joyful experience. All parents experience stress from time-to-time. Thus, parental resilience is a process that all parents need in order to effectively manage stressful situations and help ensure they and their families are on a trajectory of healthy, positive outcomes. Parental resilience is the process of calling forth one’s inner strength to proactively manage stress, meet personal/family challenges and be able to function well.

Programs can provide a variety of resources for families to help build and support parental resilience—all with low or no-cost to the program. For example, programs can:

- Demonstrate in multiple ways that parents are valued
- Honor each family’s race, ethnicity, language, culture, history and approach to parenting
- Encourage parents to manage stress effectively
- Support parents as decision-makers and help build parents’ decision-making and leadership skills
- Help parents understand how to buffer their child during stressful times

When supported by program policies, staff can employ these concrete Everyday Actions to help families reduce personal/parental stress and gain confidence in their ability to overcome challenges.

**1. Parental Resilience: Self-Assessment Items**

**How do programs demonstrate that parents are valued?**

Item	5: Strongly Agree	4. Agree	3: Neither Agree Nor Disagree	2: Disagree	1: Strongly Disagree	Not Applicable	Comments
1.1 Staff strive to recognize and affirm the central role of parents in their children’s lives through every interaction with families including policies, practices and informal communication.							
1.2 The program has multiple avenues for regular communication with families. a. The program provides an orientation for families about the program philosophy, goals and objectives. b. Home visitors use a variety of methods (e.g., new family orientations, individual conversations, written questionnaires, etc.), to provide information and gather input from families about activities throughout the year, c. Home visitors regularly ask parents about their observations of their child.							
1.3 Home visitors develop mutually respectful relationships with all family members by: a. Taking time to get to know family members individually, by name.							

<ul style="list-style-type: none"> <li>b. Offering activities to address the specific interests and needs of fathers, mothers and other family members.</li> <li>c. Listening and learning about their interests, current activities, hopes and expectations for themselves and their children.</li> <li>d. Regularly inquiring about what is happening in their lives.</li> <li>e. Providing emotional support and encouragement.</li> <li>f. Sharing appropriate information about themselves.</li> <li>g. Recognizing and acknowledging their strengths, efforts and contributions.</li> <li>h. Taking time to understand the complex needs of individual family members, such as navigating child custody, playing dual roles in a single-parent household or having children with different partners.</li> </ul>							
<p>1.4 Home visitors show that they value fathers and are sensitive to their unique needs by:</p> <ul style="list-style-type: none"> <li>a. Using intake forms, applications and surveys that are gender-neutral.</li> <li>b. Taking part in periodic training on understanding and appreciating fathers' needs and parenting.</li> <li>c. Providing information specific to fathers/male family members.</li> <li>d. Providing peer activities or services that are man-to-man, father-to-father.</li> <li>e. Establishing ongoing partnerships with community resources that provide services to fathers.</li> <li>f. Inviting fathers to attend programs and working to engage them in activities.</li> <li>g. Being aware of barriers that limit father involvement: difficult relationship with the child's mother, lack of information or a non-custodial relationship with child.</li> <li>h. Encouraging fathers and male family members to engage in all aspects of the program, including leadership roles.</li> </ul>							

**How do programs honor each family's race, language, culture, history and approach to parenting?**

Item	5: Strongly Agree	4. Agree	3: Neither Agree Nor Disagree	2: Disagree	1: Strongly Disagree	Not Applicable	Comments
<p>1.5 Home visitors ensure that all families, regardless of family structure; socio-economic, racial, religious and cultural backgrounds; gender; sexual orientation; abilities; or preferred language are included in all aspects of the program:</p> <ul style="list-style-type: none"> <li>a. Home visitors are trained to be knowledgeable about the parenting practices and approaches to family decision-making of different cultural and ethnic groups (including role of fathers, grandparents and family members in parenting and transmitting cultural beliefs).</li> <li>b. Home visitors gather information about family interests, beliefs and expectations, including those relating to the child's culture and language development, and seek to partner with families in incorporating those features into program activities and structure.</li> <li>c. Home visitors encourage parents to share their skills, talents and cultural traditions with their children.</li> <li>d. Home visitors recognize that many cultures extend parenting responsibilities to other family members and respond accordingly.</li> <li>e. The program displays diverse families and family structures in books and program materials.</li> </ul>							
<p>1.6 An effort is made to ensure that program information and outreach materials are linguistically and culturally appropriate. Materials are:</p> <ul style="list-style-type: none"> <li>a. Translated, whenever possible, into the language(s) spoken by all families in the program.</li> <li>b. Reviewed by a Parent Advisory Committee to ensure that they are culturally relevant and linguistically accessible to all families in the program.</li> <li>c. Designed to reflect the culture(s) of the program participants.</li> </ul>							

<p>1.7 As a part of staff orientation and ongoing staff development, home visitors regularly have opportunities to develop skills and knowledge to work effectively with diverse families. Staff development may include:</p> <ul style="list-style-type: none"> <li>a. Inviting partner organizations to provide workshops for staff on working with diverse families.</li> <li>b. Coordinating site visits at organizations that serve different populations so that home visitors can learn how to best serve various populations.</li> <li>c. Facilitating discussions to help staff members understand and appreciate their culturally different communication styles and life styles.</li> <li>d. Familiarizing staff with the meaning and significance of race and racism for families and community institutions</li> </ul>							
<p>1.8 The program seeks to reflect the community and families it serves by:</p> <ul style="list-style-type: none"> <li>a. Building a staff that reflects the community and families served.</li> <li>b. Intentionally recruiting and employing staff members who are skilled at working with the diversity of the families in the program.</li> <li>c. Connecting with elders and other trusted messengers for the diverse cultural groups represented in the program community.</li> <li>d. Seeking to hire staff members that provide families and children with connections to the diversity that exists in society—even if the program's community is largely mono-lingual, mono-ethnic or mono-cultural.</li> </ul>							
<p>1.9 The program supports participating immigrant and refugee families by:</p> <ul style="list-style-type: none"> <li>a. Ensuring that home visitors are knowledgeable about immigrant and refugee families' unique challenges and can help to address those challenges in a respectful manner.</li> </ul>							

b. Maintaining up-to-date information on supports and services most relevant to immigrant and refugee families.							
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**How do programs encourage parents to manage stress effectively?**

Item	5: Strongly Agree	4. Agree	3: Neither Agree Nor Disagree	2: Disagree	1: Strongly Disagree	Not Applicable	Comments
<p>1.10 When common signs of stress occur, home visitors reach out to families proactively and supportively. Some common signs of stress include:</p> <ul style="list-style-type: none"> <li>• Parents' acknowledgement of stress or problems</li> <li>• Unusual parental behavior</li> <li>• Repeated missed appointments</li> <li>• Divorce, separation, military deployment, family dissolution, job loss or other family crises</li> <li>• Changes or fluctuations in a child's emotional state, acting out, distress, challenging behavior, fearful behavior, inappropriate language/behavior (such as sexual acting out), signs of abuse or neglect (such as bruises) or other unexplained changes in child behavior</li> </ul>							
<p>1.11 Home visitors provide guidance to parents on how to appropriately respond to family crises (e.g., serious illness, death, family dissolution, divorce, military employment, job loss, incarceration, etc.).</p>							
<p>1.12 Home visitors encourage parents to relieve stress through:</p> <ol style="list-style-type: none"> <li>a. Participating in parents-only social activities (e.g., parents' night out).</li> <li>b. Participating in support groups.</li> <li>c. Exercising, eating well and taking other personal care steps.</li> <li>d. Talking about issues that are troubling them.</li> </ol>							
<p>1.13 Staff receive training and support on how to respond appropriately to family crises that come to their attention. Training topics may include:</p>							

<ul style="list-style-type: none"> <li>a. Understanding the impact of family crises and/or loss on all family members—especially children—and how to respond appropriately.</li> <li>b. Supporting families' immediate and long-term plans.</li> <li>c. Talking to families about difficult issues and helping them access additional help.</li> <li>d. Maintaining confidentiality.</li> <li>e. Recognizing domestic violence, depression, developmental delays, mental illness, chronic health problems, substance abuse and other signs of imminent crisis and how to respond appropriately.</li> <li>f. Trauma and its impact on children and how staff can help.</li> <li>g. Other community agencies providing specialized services to families and children.</li> </ul>							
<p>1.14 Home visitors receive program support when working with families under stress through:</p> <ul style="list-style-type: none"> <li>a. Acknowledgement and support for their efforts from supervisors and administrators.</li> <li>b. Opportunities to process their own emotional reactions with appropriate support.</li> <li>c. Access to a mental health consultant.</li> <li>d. Time off if needed.</li> <li>e. Case conferences with the program supervisor and/or cross discipline team.</li> <li>f. Identification of their successes and family/client progress.</li> <li>g. Support to recognize the limits/boundaries of the home visitor's role and scope of practice.</li> <li>h. Supportive supervision to reduce isolation.</li> </ul>							
<p>1.15 Home visitors help parents address relationship issues with their partners or other adults by providing information or referrals to other services.</p>							

**How do programs support parents as decision-makers and help build decision-making and leadership skills?**

Item	5: Strongly Agree	4: Agree	3: Neither Agree Nor Disagree	2: Disagree	1: Strongly Disagree	Not Applicable	Comments
<p>1.16 Home visitors reinforce parental authority by:</p> <ul style="list-style-type: none"> <li>a. Respecting parents' directions and/or decisions about their children.</li> <li>b. Learning about parents' expectations and limits for their children.</li> <li>c. Talking respectfully with parents about differences in expectations regarding children's behavior.</li> <li>d. Understanding the parenting and child behavior norms of the parent's culture.</li> <li>e. Being careful not to contradict a parent in front of his or her child or other children.</li> <li>f. Encouraging active parental decision-making about their children's education.</li> </ul>							
<p>1.17 The program provides opportunities for parents to develop and enhance their:</p> <ul style="list-style-type: none"> <li>• Self-esteem</li> <li>• Self-control</li> <li>• Decision-making skills</li> <li>• Communication skills</li> <li>• Problem solving skills</li> <li>• Ability to access and utilize resources</li> <li>• Ability to cope with stress</li> </ul>							
<p>1.18 Parents are engaged as partners in developmental screenings or assessments of their child.</p> <ul style="list-style-type: none"> <li>a. Staff are trained in the program's confidentiality policy, and parents are informed of how this policy applies to screening and results.</li> <li>b. Parents participate in screenings and/or are informed of the results of all screenings as soon as possible.</li> <li>c. The program consults with parents immediately when child health, behavior or developmental problems are suspected or identified.</li> </ul>							

<p>d. Parents work with staff to develop response plans for their children based on screening results.</p> <p>e. All plans for the child that result from assessments are fully discussed with parents. All plans are documented and parents are provided with copies of the documented plan.</p> <p>f. The program assists parents in finding and connecting to specialists (e.g., a physician, physical therapist, child study team) for further evaluation when their children are identified in the screening process as having possible special needs.</p>							
<p>1.19 Parents and staff develop family plans together that:</p> <p>a. Identify the family's assets, interests, skills, needs and goals for themselves and their children.</p> <p>b. Identify services, opportunities and community resources that may help families achieve their goals, continue their learning and/or provide avenues for community involvement and leadership.</p>							
<p>1.20 The program supports a parent's personal education and career goals by:</p> <p>a. Referring families to educational and career resources (GED, adult education, ESL, employment opportunities, workplace literacy, parenting skills, job training, job preparation skills, etc.).</p> <p>b. Forming partnerships with nearby educational resources to support families' learning interests and educational goals.</p> <p>c. Linking families with community resources for internships, volunteer/leadership activities and other experiences that expand their knowledge and skills and build on their career interests.</p> <p>d. Providing parent mentoring opportunities from staff, alumni parents/families, elders and professionals in the community.</p>							
<p>1.21 The program provides opportunities and support or families to serve as leaders and decision-makers by:</p> <p>a. Providing opportunities for families to volunteer and contribute to the program by offering</p>							

<p>leadership development training and mentoring activities.</p> <p>b. Encouraging and supporting parents in becoming active members of the program's governing/advisory groups and in taking on leadership roles.</p> <p>c. Asking families for regular input on programmatic decisions.</p> <p>d. Asking families for input into staff hiring and training.</p> <p>e. Providing families with roles in evaluating the program (e.g., parent questionnaires, group evaluation meetings).</p>							
<p>1.22 The program provides workshops and other opportunities for family members to develop new skills through</p> <p>a. Addressing topics identified by families as important to them.</p> <p>b. Activities designed to address interests of different family members (e.g., fathers, mothers, other family members).</p> <p>c. Providing child care during trainings or workshops.</p> <p>d. Face-to-face or telephone outreach to families who might not otherwise participate.</p>							
<p>1.23 The program helps to support the continued development of parent leaders by supporting:</p> <p>a. Personal growth—such as attending conferences or special events and sharing information of interest with other parents.</p> <p>b. Leadership development trainings and mentoring activities.</p> <p>c. Training opportunities for advocacy and civics (e.g., how local and state government works, how to foster change, etc.).</p>							
<p>1.24 The program helps to support parents' opportunities for leadership in community change by:</p> <p>a. Hosting or linking parents to community events that help raise awareness of emerging community needs and assets.</p>							

<p>b. Connecting parents to advocacy groups that work on issues related to child, family and community needs.</p> <p>c. Ensuring that local, state and federal policy information is discussed at staff meetings and advisory council meetings and relevant information is shared with families.</p> <p>d. Connecting parents to groups that inform and shape policy at the local, state or national level.</p>							
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**Social Connections: *Families have friends they can count on.***

“Having positive relationships that provide emotional, informational and spiritual support”

When parents have a sense of connectedness they have people who care about them as individuals and as parents. They feel secure and confident that they have others with whom they can share the joy, pain and uncertainties that come with the parenting role.

They seek timely assistance from people they have learned to count on and they feel empowered to “give back” through satisfying, mutually beneficial relationships. Several research studies have demonstrated that high levels of emotional, informational, instrumental or spiritual support is associated with positive parental mood; positive perceptions of and responsiveness to one’s children; parental satisfaction, well-being and sense of competence; and lower levels of anger, anxiety and depression. Constructive and supportive social connections help buffer parents from stressors and support nurturing parenting behaviors that promote secure attachments in young children.

Programs can provide opportunities for parents to create and strengthen positive social connections. Programs should:

- Help families value, build, sustain and use social connections
- Create an inclusive environment
- Facilitate mutual support
- Promote engagement in the community and participation in community activities

**2. Social Connections: Self-Assessment Items**

**How do programs help families value, build, sustain and use social connections?**

Item	5: Strongly Agree	4. Agree	3: Neither Agree Nor Disagree	2: Disagree	1: Strongly Disagree	Not Applicable	Comments
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<p>2.1 Home visitors build parent's awareness of social connections by:</p> <ul style="list-style-type: none"> <li>a. Helping families assess their level of social connectedness and examine their support system.</li> <li>b. Helping parents (mothers, fathers and/or others in primary parenting roles) understand the benefits of a supportive social network.</li> <li>c. Working with parents to identify friends and family members who are able to lend support or help in times of need.</li> </ul>							
<p>2.2 The program provides opportunities for families to socialize and fosters a sense of community by:</p> <ul style="list-style-type: none"> <li>a. Hosting periodic events for parents.</li> <li>b. Providing opportunities for families with similar interests, children's ages and/or circumstances (such as those with twins, parents of infants, parents with special-needs children, parents who speak the same language) to connect with one another</li> <li>c. Coordinating social media groups or pages where parents can get program information and interact with one other.</li> <li>d. Planning informal social events where staff and families can interact.</li> </ul>							
<p>2.3 The program connects families to resources to strengthen relationships between adults (e.g., healthy marriage skills, communication skills, conflict resolution, co-parenting).</p>							
<p>2.4 Home visitors help parents identify and overcome barriers to participating in social activities by offering information on available resources (e.g., support with language transportation, childcare, translation services).</p>							
<p>2.5 Home visitors receive training and/or are knowledgeable about:</p> <ul style="list-style-type: none"> <li>a. The importance of positive relationships within a supportive social network and the impact of</li> </ul>							

social relationships on the growth and development of children.							
b. How to guide parents to identify and build positive, supportive relationships with other adults.							
c. Opportunities in the community in which parents can participate							
2.5.1 Addressing social exclusion due to differences in race, language, culture, appearance, gender, sexual orientation, ability, etc.							

**How do programs create an inclusive environment?**

Item	5: Strongly Agree	4. Agree	3: Neither Agree Nor Disagree	2: Disagree	1: Strongly Disagree	Not Applicable	Comments
2.6 Home visitors are prepared and equipped to: <ul style="list-style-type: none"> <li>a. Model inclusive behavior.</li> <li>b. Guide family members in resolving conflicts within the family or with others.</li> <li>c. Respond in a constructive, pro-social manner to prejudicial or discriminatory statements and/or incidents that occur during home visits.</li> <li>d. Be proactive in challenging beliefs and practices that stereotype, discriminate against or exclude others.</li> </ul>							
2.7 Families are encouraged to reach out and engage other families, including newcomers and more isolated families into the program community.							

**How do programs facilitate mutual support?**

Item	5: Strongly Agree	4. Agree	3: Neither Agree Nor Disagree	2: Disagree	1: Strongly Disagree	Not Applicable	Comments
2.8 The program encourages parents to set up mutual support mechanisms, such as phone trees, car pools, babysitting co-ops, play groups and social							

media groups or pages where they can communicate with one another.							
2.9 Parents are encouraged to share parenting information with each other.							
2.10 Parent-organized social/educational events and activities are encouraged and supported by: <ul style="list-style-type: none"> <li>a. Providing information on outside activities for parents to attend together (for example, gathering at playgrounds, fun fairs, libraries).</li> <li>b. Providing supports such as gathering spaces, childcare and food so that parents can organize and participate in activities together.</li> </ul>							

**How do programs promote engagement in the community and participation in community activities?**

Item	5: Strongly Agree	4: Agree	3: Neither Agree Nor Disagree	2: Disagree	1: Strongly Disagree	Not Applicable	Comments
2.11 Staff seek opportunities to build good relations within the immediate neighborhood or local community by: <ul style="list-style-type: none"> <li>a. Engaging with issues of concern to the community and getting involved with other community organizations.</li> <li>b. Providing and receiving support from the local community (e.g., financial support, donated services, tangible gifts, discounted services, letters of support).</li> <li>c. Inviting neighbors to open houses.</li> </ul>							
2.12 Home visitors are aware of and connect parents to local opportunities that promote family enrichment (e.g., reading hours at the library, parent-child book groups, cultural heritage events).							
2.13 The program encourages parents to be active in neighborhood and civic groups such as faith-based organizations, parenting support groups, school-based groups, etc.							

**Knowledge of Child Development: Families learn how their children grow and develop.**

“Understanding child development and parenting strategies that advance physical, cognitive, language, social and emotional development”

No parent knows everything about children or is a “perfect parent.” An understanding of parenting strategies and child development helps parents understand what to expect and how to provide what children need during each developmental phase.

All parents can benefit from increasing their knowledge and understanding of child development. Developing brains need proper nutrition, regularly scheduled periods of sleep, physical activity and a variety of stimulating experiences. What parents do and how they treat children is often a reflection of the way they were parented. Acquiring new knowledge about parenting and child development enables parents to critically evaluate the impact of their current parenting practices, and to consider that there may be more effective ways of guiding and responding to their children.

Programs can help parents increase their knowledge of parenting and child development. Programs should:

- Model developmentally appropriate interactions with children
- Provide information and resources on parenting and child development
- Encourage parents to observe, ask questions, explore parenting issues and try out new strategies
- Address parenting issues from a strength-based perspective

Program staff can help parents gain a high level of expertise about child development and parenting. It is crucial that staff provide information in multiple ways and at times that parents really need it and will put it into practice.

**3. Knowledge of Parenting and Child Development: Self-Assessment Items**

**How do programs model developmentally appropriate interactions with children?**

Item	5: Strongly Agree	4: Agree	3: Neither Agree Nor Disagree	2: Disagree	1: Strongly Disagree	Not Applicable	Comments
<p>3.1. Home visitors work collaboratively with parents to coordinate support for children’s development.</p> <p>a. Staff develop ongoing partnerships with parents to ensure a common understanding of the child’s development. Staff and parents coordinate actions to provide children with appropriate experiences for their developmental stage.</p> <p>b. Staff and parents work together to regularly monitor the children’s development with appropriate assessment tools.</p>							

<p>3.2. When staff talk with parents about discipline, they:</p> <ol style="list-style-type: none"> <li>Explain why the program does not support the use of physical discipline.</li> <li>Explain why the program teaches the forms of discipline that it does.</li> <li>Provide information on age-appropriate positive discipline techniques and reasonable expectations.</li> <li>Offer ideas for alternate ways to manage children's behavior and to recognize and reinforce desired/appropriate behavior.</li> </ol>							
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**How do programs provide information and resources on parenting and child development?**

Item	5: Strongly Agree	4: Agree	3: Neither Agree Nor Disagree	2: Disagree	1: Strongly Disagree	Not Applicable	Comments
<p>3.3. Home visitors share current, research-based information with parents about:</p> <ol style="list-style-type: none"> <li>Their child's growth and development.</li> <li>Age-appropriate developmental expectations.</li> <li>How children develop self-esteem, language skills, social skills, communication skills and motor skills.</li> <li>Age-appropriate activities to engage in with their children.</li> <li>Immunizations, safe sleep and other health and safety issues.</li> <li>The negative impacts of exposing children to violence.</li> </ol>							
<p>3.4. Parenting and child development information is provided through multiple avenues to meet diverse learning styles, including:</p> <ul style="list-style-type: none"> <li>One-on-one conversation and structured activities</li> <li>Printed materials and handouts</li> <li>Books and videos that are available for parents to borrow</li> <li>Referrals to parenting classes</li> </ul>							

<ul style="list-style-type: none"> <li>• Opportunities for parents with similar concerns to share information</li> <li>• Postings of information and links on a program website and/or social media pages accessed by participants</li> <li>• Mentoring or coaching connections between parents</li> <li>• Parenting groups that respond to different ethnic, cultural or linguistic groups in the community</li> </ul>							
<p>3.5. Home visits are responsive to the needs of parents in different circumstances. For example:</p> <ul style="list-style-type: none"> <li>• Different parenting styles of mothers and fathers and the strengths of each</li> <li>• Needs and concerns of first time parents</li> <li>• Needs of parents who are parenting a child with a disability</li> <li>• Noncustodial parents</li> <li>• Families that are co-parenting</li> <li>• Nontraditional caregivers (grandparents, foster parents)</li> </ul>							
<p>3.6. Staff provide “just in time” parenting tips and discuss parenting issues with parents when:</p> <ul style="list-style-type: none"> <li>• Child behavior or development issues arise (e.g., potty training, changes in eating or sleeping patterns, separation issues, aggressive behavior)</li> <li>• A parent appears to be frustrated or stressed and in need of support</li> <li>• A parent appears to be having difficulty relating to or communicating with their child</li> </ul>							
<p>3.7. The program provides specific learning opportunities for expectant and new parents, covering topics such as:</p> <ul style="list-style-type: none"> <li>• Prenatal and infant health and development</li> <li>• The birth process and what to expect</li> <li>• The needs of postnatal women and their families</li> <li>• The developing role of first time parents (including adolescent parents, if appropriate)</li> <li>• Planning for the child’s needs after birth, such as car seats and cribs</li> </ul>							

3.8. Home visitors help parents (e.g., moms, dads and/or others in the primary parenting role) to: <ul style="list-style-type: none"> <li>a. Examine their values and behaviors.</li> <li>b. See how their own childhood experiences affect their present family interactions.</li> <li>c. Set goals for their family.</li> <li>d. Recognize their strengths and abilities as parents.</li> <li>e. Feel confident about their parenting skills.</li> </ul>							
3.9. The program provides information to parents about selecting responsible caregivers and high-quality early care and learning arrangements for their children.							
3.10. The program provides information and guidance about: <ul style="list-style-type: none"> <li>a. Children's transition to school (e.g., what to expect from teachers, the kindergarten experience)</li> <li>b. Parents' and children's educational rights and responsibilities.</li> <li>c. The importance of parents staying involved in their child's education and taking leadership roles in their child's school.</li> </ul>							

**How do programs encourage parents to observe, ask questions, explore parenting issues and try out new strategies?**

Item	5: Strongly Agree	4: Agree	3: Neither Agree Nor Disagree	2: Disagree	1: Strongly Disagree	Not Applicable	Comments
3.11. Parents and staff work together to design and organize opportunities for parent-led discussions (such as a Community Café or Parent Café series) to explore: <ul style="list-style-type: none"> <li>a. Cultural/ethnic expectations and practices related to parenting.</li> <li>b. Different parenting practices.</li> <li>c. Parent/child relationships.</li> <li>d. How to interact effectively with their children (e.g., listening; appreciating ideas, efforts and</li> </ul>							

feelings; creating a non-threatening environment).							
<p>3.12. Staff recognize and support parenting challenges experienced by families with children who have special needs by:</p> <ul style="list-style-type: none"> <li>a. Regularly checking in with parents about parenting issues.</li> <li>b. Being sensitive to parents' frustration, protectiveness, guilt, loss and other related feelings, and acknowledging the challenges families may be experiencing.</li> <li>c. Supporting parents in understanding appropriate developmental expectations for their children with special needs.</li> <li>d. Checking in with parents about the impact their children's special needs may have on family dynamics and parental stress.</li> <li>e. Being especially supportive at the time that special needs are initially identified.</li> <li>f. Connecting parents with materials and websites, support groups, play groups and community resources specific to their children's special needs.</li> <li>g. Ensuring that program parent-child activities are appropriate for families with children with special needs.</li> </ul>							

**How do programs address parenting issues from a strength-based perspective?**

Item	5: Strongly Agree	4: Agree	3: Neither Agree Nor Disagree	2: Disagree	1: Strongly Disagree	Not Applicable	Comments
<p>3.13. Home visitors share their observations of children with parents to help the parents recognize:</p> <ul style="list-style-type: none"> <li>a. Their children's unique assets, temperament, personality, communication styles and behavioral cues.</li> <li>b. Their children's growth and development patterns.</li> </ul>							

<ul style="list-style-type: none"> <li>c. Their children’s positive social skills and developmentally appropriate emotional behavior.</li> <li>d. Their children’s independence and abilities.</li> <li>e. Activities families can use to enhance their children’s skills and development.</li> <li>f. Signals that development may not be on track.</li> </ul>							
<p>3.14. Home visitors consult with parents when child health or developmental problems are suspected or identified.</p>							
<p>3.15. Staff reinforce positive parent-child interactions by:</p> <ul style="list-style-type: none"> <li>a. Noticing and acknowledging when parents are attuned to their children’s needs or are communicating effectively with their children.</li> <li>b. Sharing something positive with parents about their child’s behavior and development.</li> </ul>							
<p>3.16. Staff proactively and respectfully address concerns about parenting techniques or behavior by:</p> <ul style="list-style-type: none"> <li>a. Acknowledging young children’s frustrating behavior and recognizing parents’ efforts to deal with it effectively.</li> <li>b. Asking open-ended questions to understand the behavior from the parent’s perspective.</li> <li>c. Sharing concerns about a child’s behavior and/or about the parents’ parenting practices and respectfully offering alternatives.</li> <li>d. Connecting parents to resources and supports that may help to address parenting issues.</li> </ul>							

**Concrete Support in Times of Need: Families get assistance to meet basic needs.**

“Access to resources that address a family's basic needs and minimize stress caused by challenges.”

When parents are faced with very trying conditions such as losing a job, substance abuse, not being able to feed their family or trauma, they need access to concrete support to address their needs and help to minimize the stress.

Assisting parents to identify, find and receive concrete support in times of need helps to ensure the basic necessities are met. A strengths-based approach helps parents feel valued because they are acknowledged as knowledgeable and competent. They develop a sense of self-confidence and self-efficacy because they have opportunities to build their skills, experience success and provide help to others. Thus, access to concrete support in times of need must be accompanied by a quality of service coordination and delivery that is designed to preserve parents’ dignity and to promote their and their family’s healthy development, resilience and ability to advocate for needed services and resources.

Programs can help parents to identify, find and receive concrete support in times of need. Programs should:

- Respond immediately when families are in crisis
- Provide information and connections to services in the community
- Help families to develop skills and tools they need to identify their needs and connect to supports

Even though community-based programs are not always equipped to provide all the services and supports families may need, they can still play a vital role in helping families access concrete supports in times of crisis. Programs can also play a critical role in helping families develop their own proactive strategies for meeting needs in the future.

**4. Concrete Supports—Self-Assessment Items**

**How do programs respond immediately when families are in crisis?**

Item	5: Strongly Agree	4: Agree	3: Neither Agree Nor Disagree	2: Disagree	1: Strongly Disagree	Not Applicable	Comments
4.1. Parents are encouraged to turn to staff in the event of a crisis through: <ul style="list-style-type: none"> <li>a. The fact that staff listen, show concern and share their personal challenges, as appropriate in informal conversation and regular interaction.</li> <li>b. Materials regularly provided to participating families.</li> </ul>							

<p>4.2. If parents bring up issues that home visitors feel unequipped to handle, home visitors can refer parents to:</p> <ul style="list-style-type: none"> <li>a. A supervisor.</li> <li>b. A specialist with knowledge in the area.</li> <li>c. A cross-disciplinary staff team.</li> <li>d. Community resources.</li> </ul>							
<p>4.3. When a family is experiencing extreme difficulties and there is no sign of imminent harm:</p> <ul style="list-style-type: none"> <li>a. Home visitors work with the family to discuss concerns and appropriate action steps.</li> <li>b. Staff attempt to connect the family to resources that can help address the issue, etc. respite care, shelters or emergency crisis services.</li> <li>c. Staff continue to support the family and monitor the situation until the situation is resolved.</li> </ul>							

**How do programs provide information and connections to services in the community?**

Item	5: Strongly Agree	4: Agree	3: Neither Agree Nor Disagree	2: Disagree	1: Strongly Disagree	Not Applicable	Comments
<p>4.4. The program maintains up-to-date information about services in the community, including hours of operation, fees, locations, eligibility requirements, language capacities, etc. Services include:</p> <ul style="list-style-type: none"> <li>• Food pantries</li> <li>• Health providers</li> <li>• Domestic violence services</li> <li>• Shelters</li> <li>• Respite care for children</li> <li>• Alcohol and substance abuse services</li> <li>• Mental health services</li> <li>• Economic supports</li> <li>• Legal assistance</li> <li>• Quality early care and education</li> </ul>							
<p>4.5. The program actively builds collaborative links to ease the referral process with other service providers by:</p> <ul style="list-style-type: none"> <li>a. Ensuring staff in different programs know each other and work together.</li> </ul>							

b. Coordinating follow-ups across service areas. c. Sharing resource information with parents. d. Identifying and advocating to fill resource gaps.							
4.6. Home visitors provide families information and guidance related to financial literacy, economic planning and financial support.							
4.7. Home visitors help parents find a medical home (primary care provider) for their family.							

**How do programs help families to develop skills they need to identify their needs and connect to supports?**

Item	5: Strongly Agree	4: Agree	3: Neither Agree Nor Disagree	2: Disagree	1: Strongly Disagree	Not Applicable	Comments
4.8. When staff make referrals to outside services, they support family leadership by: a. Brainstorming with families about what resources would be helpful. b. Respecting families that are not comfortable with a specific provider by helping them address and resolve the issue or identify other resources. c. Helping parents learn how to address barriers to utilizing services (e.g., lack of transportation or childcare, fees, language barriers, lack of translation services, and lack of cultural sensitivity and inclusiveness). d. Coaching parents as they fill out initial paperwork required to access these services, (e.g. insurance/eligibility forms). e. Following up with families on referrals to ensure that they were satisfied with the services they received, and providing help in advocating for themselves if they were not satisfied.							

**How do programs respond to possible child abuse or neglect?**

Item	5: Strongly Agree	4: Agree	3: Neither Agree Nor Disagree	2: Disagree	1: Strongly Disagree	Not Applicable	Comments
<p>4.9. When children enter the program, staff discuss child abuse and neglect prevention with parents and caretakers. This discussion includes explanations of:</p> <ul style="list-style-type: none"> <li>a. The program's policies and practices that are designed to keep children safe from harm.</li> <li>b. Staff members' responsibility as mandatory child abuse reporters.</li> <li>c. How the state defines child abuse and neglect.</li> <li>d. The program's protocols regarding child abuse and neglect reports.</li> </ul>							
<p>4.10. All staff members are trained according to their state's mandatory child abuse reporting laws.</p>							
<p>4.11. Staff receive additional training on child abuse and neglect including:</p> <ul style="list-style-type: none"> <li>a. Recognizing and responding to early signs of possible child abuse and neglect.</li> <li>b. Following the program's protocols for reporting child abuse and neglect.</li> <li>c. Understanding how cases are handled once a report is made.</li> <li>d. The relationship between domestic violence and reporting of child abuse and neglect, and clear protocols to follow when domestic violence is suspected or disclosed.</li> <li>e. Recognizing cultural practices that can trigger reporting of child abuse and neglect (e.g.the use of corporal punishment for toilet training, leaving a young sibling in the care of an older sibling under the age of ten, etc.) and respectfully discussing ways that parents can prevent the likelihood of mandatory reporting.</li> </ul>							

<p>4.12. The program's protocols for reporting possible child abuse and neglect:</p> <ul style="list-style-type: none"> <li>a. Are consistent with state child welfare reporting guidelines.</li> <li>b. Are reviewed annually or anytime changes are made to state guidelines.</li> </ul>							
<p>4.13. Staff members are trained that when a child abuse or neglect report needs to be filed, they have specific responsibilities to both the child welfare agency and to families that include:</p> <ul style="list-style-type: none"> <li>a. Coordinating with investigative authorities to ensure that staff actions and interactions with the family do not hinder the investigation.</li> <li>b. Providing objective and accurate information on the concerns that led to the report, as well as information on family strengths.</li> <li>c. Explaining their status as mandated reporters to families and their commitment to the goal of keeping children safe.</li> <li>d. Striving to be calm, caring and supportive of the family during the reporting process.</li> <li>e. Answering questions that the family may have regarding the reporting process and how the Child Protective Services system typically responds.</li> <li>f. Offering support for families by answering questions, connecting them to resources they may need, listening attentively and providing friendly advice.</li> </ul>							
<p>4.14. If a child is placed into child welfare custody, staff are trained to continue to support the child and the family by:</p> <ul style="list-style-type: none"> <li>a. Maintaining contact with the child and family, if possible.</li> <li>b. Advocating for the family within the Child Protective Services system, when possible.</li> <li>c. Helping parents connect with resources to help reunite them with their child.</li> </ul>							

<p>4.15. Home visitors seek to collaborate with child welfare caseworkers and Child Protective Services staff to promote children’s ongoing healthy development by:</p> <ul style="list-style-type: none"> <li>a. Helping to maintain stability for children involved in the system.</li> <li>b. Engaging in co-case management practices, if possible.</li> <li>c. Conducting joint home visits.</li> <li>d. Attending Child Protective Services meetings to share information.</li> </ul>							
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**Social and Emotional Competence of Children: Families teach children how to have healthy relationships.**

“Family and child interactions that help children develop the ability to recognize, communicate, and regulate their emotions”

Early childhood is a period of both great opportunity and vulnerability. Early childhood experiences set the stage for later health, wellbeing and learning. In the past, most of the focus was on building young children’s academic skills in an effort to ensure they were prepared for school. However, in recent years a growing body of research has demonstrated the strong link between young children’s social-emotional competence and their cognitive development, language skills, mental health and school success. These dimensions of social-emotional competence do not evolve naturally. Numerous research studies show that a relationship with a consistent, caring and attuned adult who actively promotes the development of these dimensions is essential for healthy social-emotional outcomes in young children.

Programs can help to promote the social and emotional competence of children. Programs should:

- Help parents foster their child’s social emotional development
- Include children’s social and emotional development activities in programming
- Help children develop a positive cultural identity and interact in a diverse society

Whether working primarily with parents or with young children themselves, program staff should receive training on the importance of social and emotional development – and can play a significant role in helping parents to both enjoy and facilitate children’s growing competencies in this area.

**5. Social and Emotional Competence of Children—Self-Assessment Items**

**How do programs help parents foster their child’s social emotional development?**

Item	5: Strongly Agree	4: Agree	3: Neither Agree Nor Disagree	2: Disagree	1: Strongly Disagree	Not Applicable	Comments
<p>5.1. The program introduces all family members to the concept of promoting social and emotional development by:</p> <ul style="list-style-type: none"> <li>a. Providing parents with information on the importance of supporting children’s healthy social and emotional development—and its connection to success in school and life.</li> <li>b. Helping parents understand age-appropriate social and emotional skills and behaviors.</li> <li>c. Providing opportunities to discuss social and emotional issues within a racial and cultural context.</li> <li>d. Offering parents ideas on how to foster a child’s social and emotional learning.</li> <li>e. Asking about parents’ observations of their child’s social and emotional development.</li> </ul>							
<p>5.2. Home visitors provide parents with:</p> <ul style="list-style-type: none"> <li>a. Age-appropriate activities and coaching to strengthen parents’ bonds with their children.</li> <li>b. Information about positive social skills and developmentally appropriate emotional behavior in children of multiple ages and stages of development.</li> <li>c. Information about the impact of conflict resolution on the social and emotional development of children.</li> <li>d. Strategies for using positive reinforcement with their children every day.</li> </ul>							
<p>5.3. Home visitors recognize cultural differences in social and emotional development related to, for example, sense of identity as an individual or part of a family and clan, nonverbal communication, product/materialism and process/spirituality.</p>							

**How do programs include children’s social and emotional development activities in programming?**

Item	5: Strongly Agree	4: Agree	3: Neither Agree Nor Disagree	2: Disagree	1: Strongly Disagree	Not Applicable	Comments
<p>5.4. The program supports children's social and emotional development with intentional practices that include:</p> <ul style="list-style-type: none"> <li>a. Encouraging children to express their feelings.</li> <li>b. Encouraging and reinforcing social skills such as sharing, taking turns and cooperative play.</li> <li>c. Actively involving children in solving conflicts and problems (e.g., helping children to talk out problems and think of solutions; sensitizing children to feelings of others).</li> <li>d. Setting clear expectations and limits for behavior.</li> <li>e. Helping children separate emotions from actions (e.g., not reacting by hitting even when angry).</li> </ul>							
<p>5.5. Mental health consultants assist staff in integrating social emotional development into everyday work by:</p> <ul style="list-style-type: none"> <li>a. Providing coaching on how to develop a healthy program environment for social and emotional learning.</li> <li>b. Providing support for staff working with children and parents around social and emotional issues, including challenging behaviors.</li> </ul>							

**How do programs help children develop a positive cultural identity and learn to interact in a diverse society?**

Item	5: Strongly Agree	4: Agree	3: Neither Agree Nor Disagree	2: Disagree	1: Strongly Disagree	Not Applicable	Comments
<p>5.6. The program welcomes and affirms the cultures of families it serves by:</p> <ul style="list-style-type: none"> <li>a. Implementing activities that include meaningful and relevant activities, stories and discussions regarding cultural and linguistic differences.</li> <li>b. Inviting families to define and express their ethnicity or culture.</li> <li>c. Including appropriate resources such as books and toys that expose children to role models from their own and other cultural backgrounds.</li> </ul>							
<p>5.7. Staff receive training on cultural differences and social and emotional development, especially differences in:</p> <ul style="list-style-type: none"> <li>a. How the sense of identity is fostered as an individual and/or as part of a family or clan in different cultures.</li> <li>b. The extent to which nonverbal communication is predominant across cultures.</li> <li>c. Diverse cultural views of indicators of success and appropriate child development.</li> </ul>							
<p>5.8. Staff are encouraged to enhance their own appreciation for different races, ethnicities, sexual orientations, languages and cultural expressions by:</p> <ul style="list-style-type: none"> <li>a. Being encouraged to share and reflect on their own cultural background.</li> <li>b. Being provided with professional development opportunities that allow them to learn more about the history and experiences of different racial and cultural groups.</li> </ul>							

c. Being trained in how to sensitively ask questions about each family's specific experiences and cultural perspectives.							
5.9. Program policies and practices support the preservation of home languages/dialects of children, families and staff.							
5.10. The program's mental health consultant offers consultation that is respectful of differences in parenting and child behaviors that stem from traditions and cultural roots.							

**Nurturing and Attachment: *Families ensure children feel loved and safe.***

“A child’s early experience of being nurtured and developing a bond with a caring adult”

Juggling the demands of work, home and other responsibilities leaves many parents feeling like they do not have nearly enough time for their children. But even small acts of kindness, protection, and caring – a hug, a smile, or loving words make a huge difference to children.

Infant brains develop best when a few stable caregivers work to understand and meet the infant’s need for love, affection, and stimulation. A lack of contact or interaction with a caregiver can change the infant’s body chemistry, resulting in a reduction in the growth hormones essential for brain and heart development. Furthermore, children who lack early emotional attachments will have a difficult time relating to peers. Parents nurture their older children by making time to

listen to them, being involved and interested in the child's school and other activities, staying aware of the child or teen's interests and friends, and being willing to advocate for the child when necessary.

Programs can help to promote the social and emotional competence of children. Programs should:

- Model nurturing care to children
- Respond proactively when social or emotional development needs extra support

## 6. Nurturing and Attachment—Self-Assessment Items

### How do programs help parents understand how to buffer their child during stressful times?

Item	5: Strongly Agree	4. Agree	3: Neither Agree Nor Disagree	2: Disagree	1: Strongly Disagree	Not Applicable	Comments
6.1 Parents and staff receive ongoing training and up-to-date information on dealing with stress and trauma, including: <ul style="list-style-type: none"> <li>• Talking with parents about helping children in times of family crisis</li> <li>• Recognizing the signs of stress in children</li> <li>• The important role that parents and caring adults play in buffering children during stressful times</li> </ul>							
6.2 Parents and staff have access to a mental health consultant to help address the needs of children and other family members during stressful times.							

### How do programs model nurturing care to children?

Item	5: Strongly Agree	4. Agree	3: Neither Agree Nor Disagree	2: Disagree	1: Strongly Disagree	Not Applicable	Comments
6.3 Recognizing and responding to the impact of child or parental trauma on parent-child relationships.							
6.4 Staff nurture children and model nurturing for parents by: <ol style="list-style-type: none"> <li>a. Responding consistently to children in a warm, supportive manner.</li> <li>b. Showing warmth through appropriate physical contact.</li> </ol>							

<ul style="list-style-type: none"> <li>c. Demonstrating mutual respect between children and adults (e.g., listening attentively, making eye contact, treating children fairly).</li> <li>d. Responding sympathetically to help children who are upset, hurt or angry.</li> <li>e. Encouraging children to express their feelings through words, artwork and expressive play.</li> <li>f. Modeling empathy and appropriate emotional responsiveness.</li> </ul>							
<p>6.5 Staff receive training, consultation and ongoing coaching to support their skills in supporting children's social emotional development, including:</p> <ul style="list-style-type: none"> <li>a. Fostering children's social and emotional development in the context of their culture and language.</li> <li>b. Recognizing behavioral/emotional problems or developmental delays.</li> <li>c. Understanding the impact of loss or trauma on children and how to respond appropriately.</li> <li>d. Recognizing the role of sensory awareness and integration in social emotional development and understanding how to promote it.</li> <li>e. Understanding gender differences in child rearing and its impact on social and emotional development.</li> <li>f. Promoting positive relationships between children living in the same household.</li> <li>g. Understanding how mental health and wellness affect family relationships and the developmental processes of young children.</li> </ul>							
<p>6.6 Home visitors help parents (mothers, fathers and/or others in a primary parent role) understand the link between how they felt about their baby before he/she was born and how they form attachments with their baby after his/her birth.</p>							